## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Malcolm Wilson MOON et al.

Title: Mannich Base Prodrugs of 3-(Pyrrol-2-yl-methylidene)-2-

Indolinone Derivatives

Appl. No.: Unassigned

Filing Date: 12/24/2003

Examiner: Unassigned

Art Unit: Unassigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop PATENT APPLICATION Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Applicants submit herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/863,804, filed 05/24/2001. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

## RELEVANCE OF EACH DOCUMENT

The relevance of the foreign-language documents is explained in the parent application.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

By

Date: December 24, 2003

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Substitute for form 1449B/PTO C mplete if Known INFORMATION DISCLOSURE **Application Number** Unassigned STATEMENT BY APPLICANT Filing Date 12/24/2003 **First Named Inventor** Malcolm Wilson MOON Date Submitted: December 24, 2003 Group Art Unit Unassigned (use as many sheets as necessary) **Examiner Name** Unassigned **Attorney Docket Number** Sheet of 11 034536-0920 1

|   | U.S. PATENT DOCUMENTS                         |         |   |  |  |   |  |  |  |  |  |
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| Examiner<br>Initials*                   | Cite No.1 Number Code <sup>2</sup> (if known) |         | Name of Patentee or Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |   |  |  |  |  |  |
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|       | INFORMATION       | N DISCLO   | SURE     | Application Number     | Unassigned          |  |
|       | STATEMENT         | BY APPLI   | CANT     | Filing Date            | 12/24/2003          |  |
|       | Date Submitted: [ | Josephor   | 24 2003  | First Named Inventor   | Malcolm Wilson MOON |  |
|       | Date Submitted. L | Secember   | 24, 2003 | Group Art Unit         | Unassigned          |  |
|       | (use as many she  | eets as ne | cessary) | Examiner Name          | Unassigned          |  |
| Sheet | 2                 | of         | 11       | Attorney Docket Number | 034536-0920         |  |

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| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Fore Office <sup>3</sup> | eign Patent D | ocument Kind Code (if known) | Name of Patentee or<br>Applicant of Cited Documents | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant<br>Figures Appear | Te |
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| Examiner  | Date       |  |
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|       | STATEMEN       | IT BY APP    | LICANT      | Filing Date            | 12/24/2003          |
|       | Date Submitted | d: Docomb    | or 24 2002  | First Named Inventor   | Malcolm Wilson MOON |
|       | Date Submittet | a. Decemb    | er 24, 2003 | Group Art Unit         | Unassigned          |
|       | (use as many   | sheets as i  | necessary)  | Examiner Name          | Unassigned          |
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| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |           |    | Name of Patentee or<br>Applicant of Cited Documents | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant<br>Figures Appear | T <sup>6</sup> |
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|       | STATEMENT         | BY APPLI    | CANT     | Filing Date            | 12/24/2003          |  |  |
|       | Date Submitted: I | Docombor    | 24 2002  | First Named Inventor   | Malcolm Wilson MOON |  |  |
| '     | Date Submitted. I | December    | 24, 2003 | Group Art Unit         | Unassigned          |  |  |
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|       | INFORMATION                       | ON DISCLO    | SURE     | Application Number     | Unassigned          |  |
|       | STATEMEN'                         | T BY APPLI   | CANT     | Filing Date            | 12/24/2003          |  |
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| Examiner<br>Initials*                   | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>6</sup> |
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| Examiner<br>Signature | Date<br>Considered |  |
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